



**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DOCKET NO: 5040-06324

APPLICANT: Foreman et al

FILING DATE: February 20, 2001

EXAMINER: <sup>RBayer</sup>Unknown

SERIAL NO: 09/788,671

GROUP: <sup>2173</sup>2873**U.S. PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE       | NAME                | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|-------------------|-------------|--------------------|------------|---------------------|-------|--------------|-------------------------------|
| RB                | A1          | 2,524,862          | 10/10/1950 | White               | 264   | 492          |                               |
| RB                | A2          | 2,525,664          | 10/10/1950 | Gadsby et al.       | 522   | 3            |                               |
| RB                | A3          | 2,542,386          | 2/20/1951  | Beattie             | 264   | 2.3          |                               |
| RB                | A4          | 3,240,854          | 3/15/1966  | Ewers               | 264   | 336          |                               |
| RB                | A5          | 3,485,765          | 12/23/1969 | Newland             | 252   | 586          |                               |
| RB                | A6          | 3,494,326          | 2/10/1970  | Upton               | 118   | 50           |                               |
| RB                | A7          | 3,555,611          | 1/19/1971  | Reiterman           | 425   | 895          |                               |
| RB                | A8          | 3,871,803          | 3/18/1975  | Beattie             | 425   | 175          |                               |
| RB                | A9          | 3,968,306          | 7/6/1976   | Yoshihara et al     | 428   | 336          |                               |
| RB                | A10         | 4,077,858          | 3/7/1978   | Costanza et al      | 522   | 14           |                               |
| RB                | A11         | 4,113,224          | 9/12/1978  | Clark et al.        | 249   | 105          |                               |
| RB                | A12         | 4,118,853          | 10/10/1978 | Mignen              | 29    | 115          |                               |
| RB                | A13         | 4,132,518          | 1/2/1979   | Rips                | 425   | 143          |                               |
| RB                | A14         | 4,138,538          | 2/6/1979   | Kaetsu et al.       | 522   | 5            |                               |
| RB                | A15         | 4,166,088          | 8/28/1979  | Neefe               | 264   | 1-38         |                               |
| RB                | A16         | 4,246,207          | 1/20/1981  | Spycher             | 264   | 2-6          |                               |
| RB                | A17         | 4,252,753          | 2/24/1981  | Rips                | 264   | 1-1          |                               |
| RB                | A18         | 4,273,809          | 6/16/1981  | LaLiberte et al.    | 427   | 155          |                               |
| RB                | A19         | 4,284,399          | 8/18/1981  | Newcomb et al.      | 425   | 410          |                               |
| RB                | A20         | 4,287,337          | 9/1/1981   | Guglielmetti et al. | 544   | 6            |                               |
| RB                | A21         | 4,297,616          | 10/27/1981 | Corona              | 315   | 179          |                               |
| RB                | A22         | 4,298,005          | 11/3/1981  | Mutzhass            | 607   | 94           |                               |
| RB                | A23         | 4,308,400          | 12/29/1981 | Felder et al.       | 568   | 336          |                               |
| RB                | A24         | 4,329,622          | 5/11/1982  | Corona et al.       | 315   | 49           |                               |

EXAMINER: RBayer

DATE CONSIDERED: 2 Apr 2004

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GROUP: 2173  
2873**U.S. PATENT DOCUMENTS**

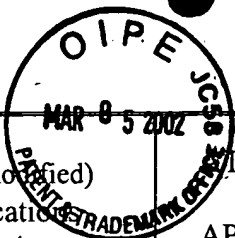
| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE       | NAME               | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|----------------|----------|-----------------|------------|--------------------|-------|-----------|----------------------------|
| RB             | A25      | 4,355,135       | 10/1982    | January            | 351   | 160H      |                            |
| RB             | A26      | 4,369,298       | 1/18/1983  | Kida et al.        | 526   | 313       |                            |
| RB             | A27      | 4,390,482       | 6/28/1983  | Feurer             | 264   | 1.36      |                            |
| RB             | A28      | 4,396,737       | 8/2/1983   | Leatherman         | 524   | 176       |                            |
| RB             | A29      | 4,440,699       | 4/3/1984   | Smid et al.        | 264   | 1.36      |                            |
| RB             | A30      | 4,441,795       | 4/10/1984  | Lobdell            | 351   | 264       |                            |
| RB             | A31      | 4,511,209       | 4/15/1985  | Skutnik et al.     | 385   | 145       |                            |
| RB             | A32      | 4,512,340       | 4/23/1985  | Buck               | 602   | 2         |                            |
| RB             | A33      | 4,534,915       | 8/13/1985  | Neefe              | 264   | 1.38      |                            |
| RB             | A34      | 4,542,201       | 9/17/1985  | Kanemura et al.    | 526   | 314       |                            |
| RB             | A35      | 4,561,951       | 12/31/1985 | Neckers            | 522   | 33        |                            |
| RB             | A36      | 4,576,766       | 3/18/1986  | Baskerville et al. | 264   | 1.32      |                            |
| RB             | A37      | 4,586,996       | 5/6/1986   | Shinohara et al.   | 522   | 339       |                            |
| RB             | A38      | 4,594,288       | 6/10/1986  | Dobigny et al.     | 428   | 339       |                            |
| RB             | A39      | 4,613,656       | 9/23/1986  | Tang               | 526   | 193       |                            |
| RB             | A40      | 4,622,376       | 11/11/1986 | Misura et al.      | 526   | 286       |                            |
| RB             | A41      | 4,623,497       | 11/18/1986 | Waters             | 264   | 40.6      |                            |
| RB             | A42      | 4,640,489       | 2/3/1987   | Larsen             | 249   | 122       |                            |
| RB             | A43      | 4,666,952       | 5/19/1987  | Henne et al.       | 522   | 14        |                            |
| RB             | A44      | 4,693,446       | 9/15/1987  | Orlosky            | 249   | 53R       |                            |
| RB             | A45      | 4,707,076       | 11/17/1987 | Skutnik et al      | 385   | 145       |                            |
| RB             | A46      | 4,720,356       | 1/19/1988  | Chu                | 252   | 586       |                            |
| RB             | A47      | 4,728,469       | 3/1/1988   | Danner et al.      | 264   | 1.38      |                            |

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|----------------|----------|-----------------|------------|---------------------|-------------------|-----------|----------------------------|
| RB             | A48      | 4,731,264       | 3/15/1988  | Lin et al           | 427               | 387       |                            |
| RB             | A49      | 4,746,716       | 5/24/1988  | Oates               | 526               | 2314      |                            |
| RB             | A50      | 4,784,467       | 11/15/1988 | Akatsuka et al      | 359               | 588       |                            |
| RB             | A51      | 4,800,122       | 1/4/1989   | Sallavanti et al    | 428               | 12        |                            |
| RB             | A52      | 4,842,782       | 6/27/1989  | Portney et al.      | 264               | 1-37      |                            |
| RB             | A53      | 4,851,530       | 7/25/1989  | Rickwood            | 544               | 74        |                            |
| RB             | A54      | 4,852,974       | 8/1/1989   | Melzig et al.       | 359               | 588       |                            |
| RB             | A55      | 4,879,318       | 11/7/1989  | Lipscomb et al.     | 522               | 42        |                            |
| RB             | A56      | 4,889,664       | 12/26/1989 | Kindt-Larsen et al. | 264               | 2.6       |                            |
| RB             | A57      | 4,895,102       | 1/23/1990  | Kachal, et al       | 118               | 620       |                            |
| RB             | A58      | 4,902,725       | 2/20/1990  | Moore               | 532               | 42        |                            |
| RB             | A59      | 4,913,544       | 4/3/1990   | Rickwood et al.     | 351               | 163       |                            |
| RB             | A60      | 4,919,850       | 4/24/1990  | Blum et al.         | 264               | 1-38      |                            |
| RB             | A61      | 4,929,278       | 5/1990     | Ashley et al.       | Technology Center | 2100      |                            |
| RB             | A62      | 4,939,423       | 7/3/1990   | Ruby                | 315               | 105       |                            |
| RB             | A63      | 4,955,804       | 9/11/1990  | Martell et al.      | 425               | 548       |                            |
| RB             | A64      | 4,959,429       | 9/25/1990  | Misura et al.       | 526               | 230-5     |                            |
| RB             | A65      | 4,965,294       | 10/23/1990 | Ohngemach et al.    | 522               | 79        |                            |
| RB             | A66      | 4,966,812       | 10/1990    | Ashley et al.       | 428               | 412       |                            |
| RB             | A67      | 4,968,454       | 11/6/1990  | Crano et al.        | 252               | 586       |                            |
| RB             | A68      | 4,983,335       | 1/8/1991   | Matsuo et al.       | 264               | 496       |                            |
| RB             | A69      | 4,985,186       | 1/15/1991  | Nose, et al.        | 264               | 1-7       |                            |
| RB             | A70      | 4,988,274       | 1/29/1991  | Kenmochi            | 425               | 174-4     |                            |

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| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE       | NAME             | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|----------------|----------|-----------------|------------|------------------|-------|-----------|----------------------------|
| RB             | A71      | 5,015,523       | 5/14/1991  | Kawashima et al. | 428   | 336       |                            |
| RB             | A72      | 5,028,358       | 7/2/1991   | Blum             | 264   | 1.32      |                            |
| RB             | A73      | 5,061,769       | 10/29/1991 | Aharoni          | 526   | 245       |                            |
| RB             | A74      | 5,104,692       | 4/14/1992  | Belmares         | 427   | 164       |                            |
| RB             | A75      | 5,105,126       | 4/14/1992  | Whitesel         | 315   | 241R      |                            |
| RB             | A76      | 5,116,644       | 5/26/1992  | Asai et al.      | 427   | 164       |                            |
| RB             | A77      | 5,118,142       | 6/2/1992   | Bish             | 291   | 46        |                            |
| RB             | A78      | 5,135,686       | 8/4/1992   | Masuhara et al.  | 264   | 406       |                            |
| RB             | A79      | 5,147,585       | 9/5/1992   | Blum             | 264   | 1.38      |                            |
| RB             | A80      | 5,153,027       | 10/6/1992  | Spagnoli         | 427   | 163.1     |                            |
| RB             | A81      | 5,154,861       | 10/13/1992 | McBrierty et al. | 264   | 1.38      |                            |
| RB             | A82      | 5,173,368       | 12/22/1992 | Belmares         | 428   | 421       |                            |
| RB             | A83      | 5,178,955       | 1/12/1993  | Aharoni et al    | 428   | 549       |                            |
| RB             | A84      | 5,225,224       | 7/6/1993   | Aharoni et al.   | 426   | 549       |                            |
| RB             | A85      | 5,224,957       | 7/6/1993   | Gasser, et al.   | 623   | 6.61      |                            |
| RB             | A86      | 5,238,981       | 8/24/1993  | Knowles          | 524   | 110       |                            |
| RB             | A87      | 5,268,196       | 12/7/1993  | Boulos           | 427   | 165       |                            |
| RB             | A88      | 5,274,132       | 12/28/1993 | VanGemert        | 549   | 389       |                            |
| RB             | A89      | 5,316,791       | 5/31/1994  | Farber et al.    | 427   | 164       |                            |
| RB             | A90      | 5,357,024       | 11/18/1994 | LeClaire et al.  | 528   | 39        |                            |
| RB             | A91      | 5,364,256       | 11/15/1994 | Lipscomb et al.  | 425   | 174.4     |                            |
| RB             | A92      | 5,369,158       | 11/29/1994 | Knowles          | 524   | 110       |                            |
| RB             | A93      | 5,384,077       | 1/24/1995  | Knowles          | 252   | 586       |                            |

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Information Disclosure Statement--PTO 1449 (modified)

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| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE       | NAME              | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|----------------|----------|-----------------|------------|-------------------|-------|-----------|----------------------------|
| RB             | A94      | 5,385,955       | 1/31/1995  | Tarshiani et al.  | 522   | 31        |                            |
| RB             | A95      | 5,391,327       | 2/25/1995  | Ligas et al.      | 252   | 586       |                            |
| RB             | A96      | 5,405,958       | 4/11/1995  | VanGemert         | 544   | 71        |                            |
| RB             | A97      | 5,411,679       | 5/2/1995   | Kumar             | 252   | 586       |                            |
| RB             | A98      | 5,412,016       | 5/2/1995   | Sharp             | 524   | 430       |                            |
| RB             | A99      | 5,415,816       | 5/16/1995  | Buazza et al.     | 264   | 1.38      |                            |
| RB             | A100     | 5,422,046       | 6/6/1995   | Tarshiani et al.  | 264   | 1.38      |                            |
| RB             | A101     | 5,424,611       | 6/13/1995  | Moriarty, Jr.     | 315   | 94        |                            |
| RB             | A102     | 5,429,774       | 7/4/1995   | Kumar             | 252   | 586       |                            |
| RB             | A103     | 5,448,136       | 9/5/1995   | Fischer           | 315   | 105       |                            |
| RB             | A104     | 5,451,344       | 9/19/1995  | Knowles et al.    | 252   | 586       |                            |
| RB             | A105     | 5,458,814       | 10/17/1995 | Kumar et al.      | 252   | 586       |                            |
| RB             | A106     | 5,458,815       | 10/17/1995 | Knowles           | 252   | 586       |                            |
| RB             | A107     | 5,464,567       | 11/7/1995  | Knowles et al.    | 252   | 586       |                            |
| RB             | A108     | 5,466,398       | 11/14/1995 | Van Gemert et al. | 252   | 586       |                            |
| RB             | A109     | 5,476,717       | 12/19/1995 | Floch             | 428   | 421       |                            |
| RB             | A110     | 5,514,214       | 5/7/1996   | Joel et al.       | 118   | 52        |                            |
| RB             | A111     | 5,516,468       | 5/14/1996  | Lipscomb et al.   | 264   | 1.38      |                            |
| RB             | A112     | 5,529,728       | 6/25/1996  | Buazza et al.     | 264   | 1.38      |                            |
| RB             | A113     | 5,531,940       | 7/2/1996   | Gupta, et al.     | 264   | 1.7       |                            |
| RB             | A114     | 5,580,819       | 12/3/1996  | Li et al          | 427   | 167       |                            |
| RB             | A115     | 5,585,186       | 12/17/1996 | Scholz et al      | 428   | 412       |                            |
| RB             | A116     | 5,589,396       | 12/31/1996 | Frye et al.       | 436   | 73        |                            |

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| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE       | NAME                 | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|----------------|----------|-----------------|------------|----------------------|-------|-----------|----------------------------|
| RB             | A117     | 5,619,288       | 4/8/1997   | White, Jr. et al.    | 351   | 159       |                            |
| RB             | A118     | 5,621,017       | 4/15/1997  | Kobayakawa, et al.   | 522   | 16        |                            |
| RB             | A119     | 5,622,784       | 4/22/1997  | Okaue et al          | 428   | 447       |                            |
| RB             | A120     | 5,623,184       | 4/22/1997  | Rector               | 315   | 102       |                            |
| RB             | A121     | 5,627,434       | 5/6/1997   | Sekiya, et al.       | 315   | 94        |                            |
| RB             | A122     | 5,639,517       | 6/17/1997  | Floch et al          | 427   | 428       |                            |
| RB             | A123     | 5,644,014       | 7/1/1997   | Schmidt et al        | 528   | 423       |                            |
| RB             | A124     | 5,667,735       | 7/16/1997  | Bae et al.           | 264   | 1-7       |                            |
| RB             | A125     | 5,681,871       | 10/28/1997 | Molock et al.        | 523   | 106       |                            |
| RB             | A126     | 5,685,098       | 11/11/1997 | Brytsche et al.      | 40    | 570       |                            |
| RB             | A127     | 5,689,324       | 11/18/1997 | Lossman et al.       | 351   | 169       |                            |
| RB             | A128     | 5,693,366       | 12/2/1997  | Mase et al.          | 427   | 164       |                            |
| RB             | A129     | 5,698,266       | 12/16/1997 | Floch et al.         | 427   | 315       |                            |
| RB             | A130     | 5,699,189       | 12/16/1997 | Murphy               | 359   | 601       |                            |
| RB             | A131     | 5,716,679       | 2/10/1998  | Krug et al.          | 427   | 515       |                            |
| RB             | A132     | 5,719,705       | 2/17/1998  | Machol               | 359   | 581       |                            |
| RB             | A133     | 5,744,243       | 4/28/1998  | Li et al.            | 428   | 447       |                            |
| RB             | A134     | 5,753,301       | 5/19/1998  | Brytsche et al.      | 427   | 162       |                            |
| RB             | A135     | 5,766,680       | 6/16/1998  | Schmidt et al.       | 427   | 226       |                            |
| RB             | A136     | 5,762,081       | 6/9/1998   | Keene, et al.        | 134   | 59        |                            |
| RB             | A137     | 5,789,015       | 8/4/1998   | Gupta, et al.        | 427   | 162       |                            |
| RB             | A138     | 5,836,323       | 11/17/1998 | Keene, et al.        | 134   | 58R       |                            |
| RB             | A139     | 5,849,209       | 12/15/1998 | Kindt-Larsen, et al. | 134   | 134       |                            |

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|----------------|----------|-----------------|------------|-------------------|-------|-----------|----------------------------|
| RB             | A140     | 5,851,328       | 12/22/1998 | Kohan             | 156   | 102       |                            |
| RB             | A141     | 5,861,934       | 1/19/1999  | Blum, et al       | 351   | 169       |                            |
| RB             | A142     | 5,880,171       | 3/9/1999   | Lim et al.        | 523   | 106       |                            |
| RB             | A143     | 5,903,105       | 5/11/1999  | Lee et al.        | 315   | 84        |                            |
| RB             | A144     | 5,910,516       | 6/8/1999   | Imura et al.      | 522   | 39        |                            |
| RB             | A145     | 5,914,074       | 6/22/1999  | Martin, et al.    | 264   | 1-38      |                            |
| RB             | A146     | 5,914,174       | 6/22/1999  | Gupta, et al      | 428   | 174       |                            |
| RB             | A147     | 5,928,575       | 7/27/1999  | Buazza            | 264   | 1-38      |                            |
| RB             | A148     | 5,949,518       | 9/7/1999   | Belmares et al.   | 351   | 166       |                            |
| RB             | A149     | 5,976,423       | 11/2/1999  | Buazza            | 264   | 1-38      |                            |
| RB             | A150     | 5,989,462       | 11/23/1999 | Buazza, et al.    | 264   | 1-36      |                            |
| RB             | A151     | 6,022,498       | 2/8/2000   | Buazza et al.     | 264   | 1-38      |                            |
| RB             | A152     | 6,042,754       | 3/28/2000  | Yang, et al.      | 264   | 1-38      |                            |
| RB             | A153     | 6,082,987       | 7/4/2000   | Su et al.         | 425   | 150       |                            |
| RB             | A154     | 6,086,799       | 7/11/2000  | Buazza et al.     | 264   | 1-38      |                            |
| RB             | A155     | 6,099,283       | 8/8/2000   | Soane et al.      | 425   | 123       |                            |
| RB             | A156     | 6,102,543       | 8/15/2000  | Melzig            | 351   | 163       |                            |
| RB             | A157     | 6,105,925       | 8/22/2000  | Lossman et al.    | 249   | 117       |                            |
| RB             | A158     | 6,171,525       | 1/9/2001   | Effer et al.      | 252   | 586       |                            |
| RB             | A159     | 6,171,528       | 1/9/2001   | Buazza et al.     | 264   | 1-38      |                            |
| RB             | A160     | 6,174,155       | 1/16/2001  | Buazza et al.     | 1725  | 143       |                            |
| RB             | A161     | 6,174,465       | 1/16/2001  | Buazza et a.      | 264   | 1-38      |                            |
| RB             | A162     | 6,218,494       | 4/17/2001  | White, Jr. et al. | 528   | 14        |                            |

EXAMINER: RBayerDATE CONSIDERED: 2 Apr 2004

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Information Disclosure Statement--PTO 1449 (modified)

**Form PTO-1449** (modified)

List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

ATTN: DOCKET NO: 5040-06324

APPLICANT: Foreman et al

FILING DATE: February 20, 2001

EXAMINER: RBayer

SERIAL NO: 09/788,671

GROUP: 2173**U.S. PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|-------------------|-------------|--------------------|------|------|-------|--------------|-------------------------------|
|-------------------|-------------|--------------------|------|------|-------|--------------|-------------------------------|

**FOREIGN PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE       | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION.<br>YES/NO |
|-------------------|-------------|--------------------|------------|---------|-------|--------------|------------------------|
| RB                | A163        | 0 226 123          | 6/24/87    | EP      |       |              | YES                    |
| RB                | A164        | 0 227 337          | 7/1/87     | EP      |       |              | YES                    |
| RB                | A165        | 0 245 020          | 11/11/87   | EP      |       |              | YES                    |
| RB                | A166        | 0 245 022          | 11/1987    | EP      |       |              | YES                    |
| RB                | A167        | 0 274 595          | 7/20/1988  | EP      |       |              | YES                    |
| RB                | A168        | 0 297 871          | 1/4/1989   | EP      |       |              | YES                    |
| RB                | A169        | 0 314 417          | 5/3/1989   | EP      |       |              | YES                    |
| RB                | A170        | 0 322 353          | 6/28/1989  | EP      |       |              | YES                    |
| RB                | A171        | 0318 164           | 5/31/1989  | EP      |       |              | YES                    |
| RB                | A172        | 0 341 534          | 11/15/1989 | EP      |       |              | NO                     |
| RB                | A173        | 0 941 829          | 9/15/1999  | EP      |       |              | YES                    |
| RB                | A174        | 0 658 072          | 7/14/1995  | EP      |       |              | YES                    |
| RB                | A175        | 1,334,376          | 12/16/1993 | FR      |       |              | NO                     |
| RB                | A176        | 40 10 784          | 10/10/1991 | DE      |       |              | YES                    |
| RB                | A177        | 196 10 563         | 9/25/1997  | DE      |       |              | NO                     |
| RB                | A178        | 49031768           | 3/22/1974  | JP      |       |              | Yes                    |
| RB                | A179        | 550132221          | 10/14/1980 | JP      |       |              | Abstr                  |
| RB                | A180        | 57085002           | 5/27/1982  | JP      |       |              | Abstr                  |
| RB                | A181        | 58045445           | 10/11/1983 | JP      |       |              | Abstr                  |
| RB                | A182        | 59086603           | 5/18/1984  | JP      |       |              | Abstr                  |

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DATE CONSIDERED:

2 Apr 2004

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**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DOCKET NO: 5040-06324

APPLICANT: Foreman et al

FILING DATE: February 20, 2001

EXAMINER: *R Bayer* Unknown

SERIAL NO: 09/788,671

GROUP: *2173*  
~~2873~~

**U.S. PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|-------------------|-------------|--------------------|------|------|-------|--------------|-------------------------------|
|-------------------|-------------|--------------------|------|------|-------|--------------|-------------------------------|

**FOREIGN PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE       | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION.<br>YES/NO |
|-------------------|-------------|--------------------|------------|---------|-------|--------------|------------------------|
| <i>RB</i>         | A183        | 59086615           | 5/18/1984  | JP      |       |              | Abstr                  |
| <i>RB</i>         | A184        | 62025162           | 6/2/1987   | JP      |       |              | Abstr                  |
| <i>RB</i>         | A185        | 620288030          | 12/14/1987 | JP      |       |              | Abstr                  |
| <i>RB</i>         | A186        | 630207632          | 8/29/1988  | JP      |       |              | Yes                    |
| <i>RB</i>         | A187        | 560135032          | 10/22/1982 | JP      |       |              | Abstr                  |
| <i>RB</i>         | A188        | 590187819          | 10/15/1984 | JP      |       |              | Abstr                  |
| <i>RB</i>         | A189        | 93000478           | 11/25/1987 | JP      |       |              | Abstr                  |
| <i>RB</i>         | A190        | 872,421            | 7/12/1961  | UK      |       |              | Yes                    |
| <i>RB</i>         | A191        | 1,029,335          | 5/11/1966  | UK      |       |              | Yes                    |
| <i>RB</i>         | A192        | 1,086,114          | 10/4/1967  | UK      |       |              | Yes                    |
| <i>RB</i>         | A193        | 2 184 734          | 7/1/1987   | UK      |       |              | Yes                    |
| <i>RB</i>         | A194        | 95/13567           | 5/18/1995  | WO      |       |              | Yes                    |
| <i>RB</i>         | A195        | 90/05629           | 5/1990     | WO      |       |              | Yes                    |
| <i>RB</i>         | A196        | 92/12851           | 8/1992     | WO      |       |              | Yes                    |
| <i>RB</i>         | A197        | 94/04345           | 3/1994     | WO      |       |              | Yes                    |
| <i>RB</i>         | A198        | 97/39880           | 10/1987    | WO      |       |              | Yes                    |
| <i>RB</i>         | A199        | 98/11998           | 3/26/1998  | WO      |       |              | Yes                    |
| <i>RB</i>         | A200        | 00/46016           | 8/10/2000  | WO      |       |              | Yes                    |
| <i>RB</i>         | A201        | 00/18567           | 4/6/2000   | WO      |       |              | Yes                    |
| <i>RB</i>         | A202        | 00/64655           | 11/2/2000  | WO      |       |              | Yes                    |

EXAMINER:

*R Bayer*

DATE CONSIDERED:

*2 April 2004*

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List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

DOCKET NO: 50100324

APPLICANT: Foreman et al

FILING DATE: February 20, 2001

EXAMINER: RBayer

SERIAL NO: 09/788,671

GROUP: 2873**U.S. PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|-------------------|-------------|--------------------|------|------|-------|--------------|-------------------------------|
|                   |             |                    |      |      |       |              |                               |

**FOREIGN PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE      | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION.<br>YES/NO |
|-------------------|-------------|--------------------|-----------|---------|-------|--------------|------------------------|
| <u>RB</u>         | A203        | 1 224 182          | 7/14/1987 | CA      |       |              | <u>YES</u>             |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

|           |      |  |
|-----------|------|--|
| <u>RB</u> | A204 | International Search Report for PCT/US 01/10480 dated October 30, 2001 |
| <u>RB</u> | A205 | International Search Report for PCT/US 01/10475 dated October 30, 2001 |
| <u>RB</u> | A206 | International Search Report for PCT/US 01/10403 dated October 29, 2001 |
| <u>RB</u> | A207 | International Search Report for PCT/US 01/10576 dated April 10, 2001   |
| <u>RB</u> | A208 | International Search Report for PCT/US 01/10474 dated October 30, 2001 |

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List of Patents and Publications  
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ATTY. DOCKET NO: 5040-06324  
APPLICANT: Foreman et al.  
FILING DATE: February 20, 2001

SERIAL NO: 09/788,671  
GROUP: ~~2873~~  
2173

**U.S. PATENT DOCUMENTS**

| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE    | NAME             | CLASS | SUB CLASS | FILING DATE APPROPRIAT |
|----------------|----------|-----------------|---------|------------------|-------|-----------|------------------------|
| RB             | B1       | 4,220,708       | 9/1980  | Heller           | 430   | 336       |                        |
| RB             | B2       | 4,557,980       | 12/1985 | Hodnett, III     | 428   | 336       |                        |
| RB             | B3       | 4,685,783       | 8/1987  | Heller et al.    | 351   | 163       |                        |
| RB             | B4       | 4,687,707       | 8/1987  | Matsuo et al.    | 428   | 336       |                        |
| RB             | B5       | 4,758,448       | 7/1988  | Sandvig et al.   | 351   | 166       |                        |
| RB             | B6       | 4,774,035       | 9/1988  | Carmelite et al. | 264   | 1-38      |                        |
| RB             | B7       | 4,780,393       | 10/1988 | Frommeld         | 430   |           |                        |
| RB             | B8       | 5,049,321       | 9/1991  | Galic            | 264   |           |                        |
| RB             | B9       | 5,914,074       | 6/1999  | Martin et al.    | 264   |           |                        |
| RB             | B10      | 5,914,193       | 6/1999  | Ono et al.       | 428   |           |                        |
| RB             | B11      | 6,245,428       | 6/2001  | Port et al.      | 428   | 421       |                        |
| RB             | B12      | 2001/0035929    | 11/2001 | Nakamura et al.  | 349   | 137       |                        |

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**FOREIGN PATENT DOCUMENTS**

| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE   | COUNTRY | CLASS | SUB CLASS | TRANSLATIO YES/NO |
|----------------|----------|-----------------|--------|---------|-------|-----------|-------------------|
| RB             | B13      | 60-231901       | 5/1992 | JP      |       |           | No                |
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DATE CONSIDERED: 2 Apr 2001

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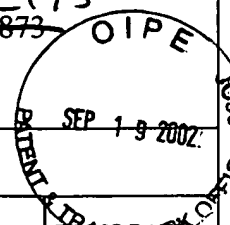
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DOCKET NO: 5040-06324

APPLICANT: Foreman et al.

FILING DATE: February 20, 2001

SERIAL NO: 09/788,671

GROUP: 2173  
2873**U.S. PATENT DOCUMENTS**

| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE    | NAME            | CLASS | SUB CLASS | TRANSLATION APPROPRIATE |
|----------------|----------|-----------------|---------|-----------------|-------|-----------|-------------------------|
| RB             | C1       | 3,851,801       | 12/1974 | Roth            | 222   | 146.5     |                         |
| RB             | C2       | 3,942,686       | 3/1996  | Roth            | 222   | 146.5     |                         |
| RB             | C3       | 4,544,572       | 10/1985 | Sandvig et al.  | 427   | 492       |                         |
| RB             | C4       | 4,711,379       | 12/1987 | Price           | 222   | 504       |                         |
| RB             | C5       | 5,219,497       | 6/1993  | Blum            | 264   | 1-38      |                         |
| RB             | C6       | 5,470,892       | 11/1995 | Gupta et al.    | 264   | 1-38      |                         |
| RB             | C7       | 5,597,519       | 1/1997  | Martin et al.   | 264   | 1-38      |                         |
| RB             | C8       | 5,747,102       | 5/1998  | Smith           | 427   | 96        |                         |
| RB             | C9       | 6,060,125       | 5/2000  | Fuji            | 427   | 421       |                         |
| RB             | C10      | 6,253,957       | 7/2001  | Messerly et al. | 222   | 1         |                         |

**FOREIGN PATENT DOCUMENTS**

| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES/NO |
|----------------|----------|-----------------|---------|---------|-------|-----------|--------------------|
| RB             | C11      | 533 030         | 3/1993  | EP      |       |           | No                 |
| RB             | C12      | 60-213,901      | 10/1985 | JP      |       |           | Abstr              |
| RB             | C13      | 09 005501       | 1/1997  | JP      |       |           | Yes                |
| RB             | C14      | 05 147110       | 6/1993  | JP      |       |           | Yes                |
| RB             | C15      | 08 157546       | 6/1996  | JP      |       |           | Abstr              |
| RB             | C16      | 92/17536        | 10/1992 | WO      |       |           | Yes                |
| RB             | C17      | 97/09170        | 3/1997  | WO      |       |           | Yes                |

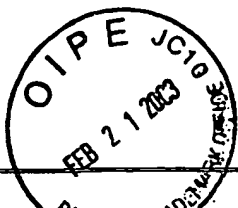
**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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EXAMINER: RBayerl

DATE CONSIDERED: 2 Apr 2004

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**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DOCKET NO: 5040-06324

APPLICANT: Foreman et al.

FILING DATE: February 20, 2001

SERIAL NO: 09/788,671  
GROUP: ~~2873~~ 2173Examiner: ~~Unknown~~ RBayer**U.S. PATENT DOCUMENTS****FOREIGN PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE    | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION.<br>YES/NO |
|-------------------|-------------|--------------------|---------|---------|-------|--------------|------------------------|
| RB                | D34         | 01 286808 A        | 11/1989 | JP      | _____ | _____        |                        |
| RB                | D35         | 04-232706          | 8/1992  | JP      | _____ | _____        | Abstr                  |
| RB                | D36         | 00/18569 A2        | 4/2000  | WO      | _____ | _____        | YES                    |
|                   |             |                    |         |         |       |              |                        |
|                   |             |                    |         |         |       |              |                        |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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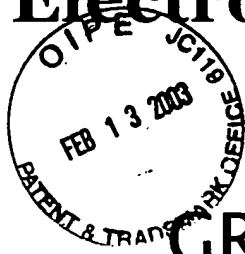
DATE CONSIDERED:

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#10

# Electronic Information Disclosure Statement



## GRAPHICAL INTERFACE FOR RECEIVING EYEGLOSS PRESCRIPTION INFORMATION

Application:



09/788671

Confirmation: 6039

Applicant(s): John Foreman

Docket

5040-06324

Number:

Group Art

Unit:

Examiner:

Unknown *R Bayer*

search string: ( 2479935 or 3056166 or 4095772 or 4190621 or 4257988 or 5061355 or 5110514 or 5158718 or 5377032 or 5662839 or 6074579 or 6103148 or 6200124 or 6201037 or 6206673 or 6228289 or 6241505 or 6280171 or 6284159 or 6328445 or 6331058 or 6367928 or 6368523 or 6416307 or 6419873 or 6439870 or 6451226 or 6464484 or 6478990 or 3530075 or 4786444 or 5632936 or 20010038890 or 20010047217 or 20010048002 or 20020018824 ).pn.

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init      | Citation No. | Patent Number | Date       | Bar Code | Patentee | Class | Subclass |
|-----------|--------------|---------------|------------|----------|----------|-------|----------|
| <i>RB</i> | P01          | 2479935       | 1949-08-23 |          | Johnson  | 264   | 1.7      |
| <i>RB</i> | P03          | 3056166       | 1962-10-02 |          | Weinberg | 249   | 102      |
| <i>RB</i> | P06          | 4095772       | 1978-06-20 |          | Weber    | 249   | 82       |
| <i>RB</i> | P07          | 4190621       | 1980-02-26 |          | Greshes  | 264   | 1.8      |

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|    |     |         |            |  |                 |           |
|----|-----|---------|------------|--|-----------------|-----------|
| RB | P08 | 4257988 | 1981-03-24 |  | Matos et al.    | 264/1.1   |
| RB | P11 | 5061355 | 1991-10-29 |  | Rose, Jr.       | 427/8     |
| RB | P12 | 5110514 | 1992-05-05 |  | Soane           | 264/496   |
| RB | P14 | 5158718 | 1992-10-27 |  | Thakrar et al.  | 264/1.36  |
| RB | P16 | 5377032 | 1994-12-27 |  | Ferguson et al. | 349/14    |
| RB | P18 | 5662839 | 1997-09-02 |  | Magne           | 264/1.38  |
| RB | P19 | 6074579 | 2000-06-13 |  | Greshes         | 264/1.7   |
| RB | P20 | 6103148 | 2000-08-15 |  | Su et al.       | 264/1.38  |
| RB | P21 | 6200124 | 2001-03-13 |  | Buazza et al.   | 425/174.4 |
| RB | P22 | 6201037 | 2001-03-13 |  | Lipscomb et al. | 522/178   |
| RB | P23 | 6206673 | 2001-03-27 |  | Lipscomb et al. | 425/174.4 |
| RB | P24 | 6228289 | 2001-05-08 |  | Powers et al.   | 264/1.36  |
| RB | P25 | 6241505 | 2001-06-05 |  | Buazza et al.   | 425/174.4 |
| RB | P26 | 6280171 | 2001-08-28 |  | Buazza          | 425/174.4 |
| RB | P27 | 6284159 | 2001-09-04 |  | Lossman et al.  | 264/1.1   |
| RB | P28 | 6328445 | 2001-12-11 |  | Buazza          | 351/159   |
| RB | P29 | 6331058 | 2001-12-18 |  | Lipscomb et al. | 351/159   |
| RB | P30 | 6367928 | 2002-04-09 |  | Buazza et al.   | 351/159   |
| RB | P31 | 6368523 | 2002-04-09 |  | Buazza et al.   | 264/1.36  |
| RB | P32 | 6416307 | 2002-07-09 |  | Buazza et al.   | 425/143   |
| RB | P33 | 6419873 | 2002-07-16 |  | Buazza et al.   | 264/496   |
| RB | P34 | 6439870 | 2002-08-27 |  | Marceau et al.  | 425/111   |
| RB | P35 | 6451226 | 2002-09-17 |  | Buazza          | 264/1.36  |
| RB | P36 | 6464484 | 2002-10-15 |  | Powers et al.   | 425/143   |
| RB | P37 | 6478990 | 2002-11-12 |  | Powers et al.   |           |
| RB | P38 | 3530075 | 1970-09-22 |  | Wiebe           | 252/586   |
| RB | P39 | 4786444 | 1988-11-22 |  | Hwang           | 264/1.38  |
| RB | P40 | 5632936 | 1997-05-27 |  | Su et al.       | 264/2.5   |

RBayer 2 April 2004

09/788,671

## Published Applications

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

| init | Citation No. | Patent Number | Date       | Bar Code | Patentee      | Class | Subclass |
|------|--------------|---------------|------------|----------|---------------|-------|----------|
| RB   | U01          | 20010038890   | 2001-11-08 |          | Buazza et al. | 428   | 1.1      |
| RB   | U02          | 20010047217   | 2001-11-29 |          | Buazza et al. | 700   | 116      |
| RB   | U03          | 20010048002   | 2001-12-06 |          | Buazza et al. | 222   | 146.5    |
| RB   | U04          | 20020018824   | 2002-02-14 |          | Buazza et al. | 425   | 174.4    |

## Remarks

**(Remarks are not for responding to an office action.)**

Please note: Foreign patent applications and patents (D34-D36) will be submitted on a USPTO Form 1449 via U.S. mail service.

## Signature

|               |            |
|---------------|------------|
| Examiner Name | Date       |
| R Bayerl      | 2 Apr 2004 |